A safety and health management system (SHMS) needs a system plan and someone to help steer and actively manage the system on its path forward. An SHMS is the repeatable, integrated processes, procedures and actions that result from the system plan.

**Who and What**

The CORESafety SHMS should be managed by someone in senior management. Because it will affect many aspects of the company, not just safety and health management, it should be integrated into other company systems to be effective, e.g., planning, operations, maintenance, contract management, human resources, information technology and others.

Documentation of the SHMS is necessary to ensure understanding, effectiveness and continuity of the system for all stakeholders. However, documentation should complement and support the system, not be end in itself, i.e., balance practical and legal need for documentation with resources required to support documentation.

**Expectations:**

1. **3.1** Designate at least one senior manager (system leader) with formal responsibility for the development, implementation, operation and maintenance of the company’s SHMS.

2. **3.2** Develop a written annual S&H plan that identifies the process for developing, implementing and verifying the SHMS by 2015. Plans should be company and/or site-specific.¹
3.3 Develop and communicate a company safety and health management policy to all employees, contractors and other stakeholders.

3.4 Ensure there is full integration of the SHMS expectations and Module 2.0 (Responsibility and Accountability). All expectations should have an internal owner(s).

3.5 Ensure the system is fully integrated into other company systems, e.g., operations, maintenance, development and planning, HR, purchasing, etc.

3.6 Ensure there is adequate documentation to develop, implement and improve the system. Documentation should be maintained through a documentation retention plan.

3.7 Define and budget the financial and time resources necessary to develop and maintain the SHMS.

Footnotes

1 Communicate the company’s commitment to comply with both legal (MSHA, OSHA, DOT, ATF, etc.) and CORESafety Modules. A plan may include a timeline, a project manager, a communication plan to keep stakeholders in the loop and to gather feedback, and the resources necessary to complete the system, etc. Resources may include S&H professionals working under a project manager and/or external consultants.
Management System Coordination
Projected Implementation Date: December 2012

Regulation
Partial or full MSHA and/or OSHA regulatory requirement: ✓ Yes □ No

Metrics
To be determined

Resources
CORESafety resources can be found with the latest updates at:
coresafety.org/resources/module3